



## ADVERTISING REGULATION DEPARTMENT REVIEW LETTER

March 5, 2026

Jessica Chang  
CAPITAL CLIENT GROUP, INC.

Reference: **FR2026-0206-0348/E**  
Link Reference : FR2025-0127-0004

Org Id: 6247

1. Brochure - State-sponsored retirement plans and alternative options - Choose the retirement plan that's best for your business  
Rules: FIN 2210, SEC 482  
20 Pages

Total Fee: \$400

Dear Jessica Chang,

The communication submitted appears consistent with applicable standards.

Reviewed by,

Sedinam A. Norvor  
Senior Analyst

*Please send any communications related to filing reviews to this Department through the Advertising Regulation Electronic Filing (AREF) system or by facsimile or hard copy mail service. We request that you do not send documents or other communications via email.*

**NOTE:** *We assume that your filed communication doesn't omit or misstate any fact, nor does it offer an opinion without reasonable basis. While you may say that the communication was "reviewed by FINRA" or "FINRA reviewed," you may not say that we approved it.*