



ADVERTISING REGULATION DEPARTMENT REVIEW LETTER

April 23, 2026

Jessica Chang
CAPITAL CLIENT GROUP, INC.

Reference: **FR2026-0406-0071/E**
Link Reference : FR2023-0426-0235

Org Id: 6247

1. Single Sheet - Retirement plan services for SIMPLE IRA plans - Offering a retirement plan can be SIMPLE
Rule: FIN 2210
2 Pages
- Total Fee: \$300

Dear Jessica Chang,

The communication submitted appears consistent with applicable standards.

Reviewed by,

Sedinam A. Norvor
Senior Analyst

This year's Advertising Regulation Conference will be held October 15-16 in Washington, D.C. For more information and to register, please visit our website at: <https://www.finra.org/events-training/conferences-events/2026-finra-advertising-regulation-conference>

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Please send any communications related to filing reviews to this Department through the Advertising Regulation Electronic Filing (AREF) system or by facsimile or hard copy mail service. We request that you do not send documents or other communications via email.

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