



ADVERTISING REGULATION DEPARTMENT REVIEW LETTER

March 19, 2026

Jessica Chang
CAPITAL CLIENT GROUP, INC.

Reference: **FX2026-0318-0171/E**
Link Reference : FX2023-0403-0007

Org Id: 6247

1. Single Sheet - Offering a retirement plan can be SIMPLE - A cost-effective retirement program with quality investment options
Rule: FIN 2210
2 Pages
- Total Fee: \$600

Dear Jessica Chang,

The communication submitted appears consistent with applicable standards.

Reviewed by,

Caitlin M. Kirby
Senior Analyst

Please send any communications related to filing reviews to this Department through the Advertising Regulation Electronic Filing (AREF) system or by facsimile or hard copy mail service. We request that you do not send documents or other communications via email.

NOTE: *We assume that your filed communication doesn't omit or misstate any fact, nor does it offer an opinion without reasonable basis. While you may say that the communication was "reviewed by FINRA" or "FINRA reviewed," you may not say that we approved it.*