



ADVERTISING REGULATION DEPARTMENT REVIEW LETTER

February 13, 2026

Jessica Chang
CAPITAL CLIENT GROUP, INC.

Reference: **FX2026-0130-0203/E**
Link Reference : FR2026-0130-0301, FR2025-1223-0187

Org Id: 6247

1. Brochure - U.S. equities - Participate with growth, defend with dividends - 4Q 2025
Rules: FIN 2210, SEC 482
15 Pages
- Total Fee: \$850

Dear Jessica Chang,

The communication appears consistent with applicable standards. We have the following comments:

Please note our review is based on the final version submitted as a supporting document on January 30, 2026, and the page count has been adjusted to reflect 15 pages, as represented in the firm submission details.

In addition, our review does not cover the content available under the QR code(s). It is the member's responsibility to determine if any of the linked content is subject to the filing requirements, pursuant to FINRA Rule 2210(c), and to file it as appropriate.

If you have any questions, please contact (240) 386-4500.

Reviewed by,

Caitlin M. Kirby
Senior Analyst



Please send any communications related to filing reviews to this Department through the Advertising Regulation Electronic Filing (AREF) system or by facsimile or hard copy mail service. We request that you do not send documents or other communications via email.

NOTE: *We assume that your filed communication doesn't omit or misstate any fact, nor does it offer an opinion without reasonable basis. While you may say that the communication was "reviewed by FINRA" or "FINRA reviewed," you may not say that we approved it.*