



ADVERTISING REGULATION DEPARTMENT REVIEW LETTER

November 14, 2024

Ms. Jessica Chang
Capital Client Group, Inc.
333 S. Hope St., 53rd Floor
Los Angeles CA 90071

Reference: **FR2024-1018-0020/E**

Org Id: 6247

Link Reference: FR2024-1025-0038, FR2024-1018-0023

1. Single Sheet - Electronic loan service: RecordkeeperDirect - Enjoy the convenience of an all-electronic plan loan service
Rule: FIN 2210
2 Pages

Total Fee: \$125

Dear Ms. Chang,

The communication submitted appears consistent with applicable standards.

Reviewed by,

Chad J. Miller
Principal Analyst

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Please send any communications related to filing reviews to this Department through the Advertising Regulation Electronic Filing (AREF) system or by facsimile or hard copy mail service. We request that you do not send documents or other communications via email.

NOTE: *We assume that your filed communication doesn't omit or misstate any fact, nor does it offer an opinion without reasonable basis. While you may say that the communication was "reviewed by FINRA" or "FINRA reviewed," you may not say that we approved it.*