



- If you received a distribution from an IRA or qualified retirement plan, you may roll the money back into an IRA or qualified retirement plan within **60 days** of receiving the assets. This is considered an indirect rollover. You are only allowed one indirect rollover from an IRA to another (or same) IRA in a 12-month period, regardless of the number of IRAs owned.
- If you received a distribution from your SIMPLE or SEP IRA that represents both pre-tax and Roth assets, only one contribution type (pre-tax **or** Roth) is allowed to be indirectly rolled over in a 12-month period.
- You must include a personal check for the rollover amount made payable to Capital Bank and Trust Company.
- Use this form for indirect rollovers only. If you wish to move assets currently held at another financial institution to American Funds, use the appropriate *Request for Transfer of Assets or Direct Rollover* form.

1 Information about you

First name	MI	Last	Receiving American Funds account number or plan ID
Address		City	State ZIP
Email address*		() Daytime phone	

*Your privacy is important to us. For information on our privacy policies, visit www.capitalgroup.com.

2 Source of distributed assets

Rollover guidelines:

- Required minimum distributions (RMDs) are not eligible for rollover.
 - IRA RMDs are aggregated together. The total RMD for **all** your IRAs (including SIMPLE and SEP/SARSEP) must be satisfied before any portion of an IRA distribution can be rolled over.
 - 403(b) (including Texas ORP) RMDs are aggregated together. The total RMD for **all** applicable 403(b) (including Texas ORP) accounts must be satisfied before any portion of a 403(b) or Texas ORP distribution can be rolled over.
 - RMDs for other qualified retirement plans (e.g., 401(k) plans) are not aggregated together. Your total RMD from the plan must be satisfied before a distribution from that plan can be rolled over.
- Payments over life expectancy or a period of 10 years or more are not eligible for rollover.
- A SIMPLE IRA can only receive assets from another account after two years have passed since the date of the first SIMPLE IRA contribution.
- If you are rolling assets into an American Funds qualified retirement plan, verify that the plan accepts rollovers.

A. The attached check represents assets originally invested in the following account type:

- ☐ Traditional IRA
- ☐ Roth IRA*
- ☐ SIMPLE IRA
Contribution type: ☐ Pre-tax **OR** ☐ Roth*
- ☐ SEP/SARSEP IRA
Contribution type: ☐ Pre-tax **OR** ☐ Roth*
- ☐ Qualified retirement plan
Contribution type: ☐ Pre-tax **OR** ☐ Roth*

*Roth assets must be rolled over to a Roth IRA or Roth SIMPLE IRA.

2 Source of distributed assets

(continued)

B. The assets were held at:

☐ American Funds. Provide the American Funds sending account number _____

Note: Withdrawal proceeds reinvested into the same American Funds account within 90 days are not assessed a sales charge.

OR

☐ Another financial institution.

C. Qualified retirement plan loan offset — if applicable

This section may apply if you participated in a qualified retirement plan and didn't fully repay an outstanding loan balance prior to severance of employment or plan termination. A qualified retirement plan loan offset is the amount the account balance is reduced, or offset, to repay the loan. You have until your tax-filing deadline (plus extensions) for the year of the loan offset to indirectly roll over the offset amount to another qualified retirement plan or IRA.

☐ Check this box if rolling over qualified retirement plan loan offset proceeds.

Loan offset amount \$ _____

3 Investment instructions

For a quick guide to fund names, numbers, minimums and share class restrictions, go to www.capitalgroup.com/fundguide.

SIMPLE IRA Plus participants (plan ID starts with 754): The rollover will be invested according to the instructions on file for future allocations. Do not complete **A** or **B** below. Proceed to Section 4.

A. Select a share class: ☐ Class A ☐ Class C ☐ Class F-2*

*Class F-2 shares are available only for accounts sold through participating investment advisor representatives or intermediaries.

B. Provide investment selections below:

Fund name or number	Percentage	Fund name or number	Percentage
_____	_____ %	_____	_____ %
_____	_____ %	_____	_____ %
_____	_____ %	_____	_____ %

C. ☐ NAV (no sales charge) for IRA rollovers — if applicable

- Attach a copy of your most recent retirement account statement. Future contributions will be assessed the appropriate sales charge.
- Class A share NAV pricing will apply to all assets being rolled to an American Funds IRA from a PlanPremier® or RecordkeeperDirect® retirement plan.
- For a rollover from any other retirement plan, Class A share NAV pricing will apply to certain assets. Refer to the fund's prospectus for additional information or qualifications.



4 Rollover certification and signature

Direct any questions regarding rollover eligibility to your financial professional or tax advisor.

I hereby certify that the information provided within this form is accurate. I certify that the requested rollover contribution is an eligible rollover distribution and does not contain any amounts from a required minimum distribution (RMD). I understand that only certain types of distributions are eligible for rollover treatment and that it is solely my responsibility to ensure such eligibility.

I certify that the distribution is being rolled over within 60 days of receipt or as otherwise permitted under applicable law. If after the permitted time frame, I have completed the appropriate self-certification (pursuant to IRS Revenue Procedure 2016-47) and have included a copy with this form. I understand that I may only request one indirect rollover from an IRA to another or the same IRA within a 12-month period.

If I am requesting a rollover of qualified plan loan offset proceeds, I certify that the distribution is being rolled over by my tax return filing due date (including extensions) for the year in which the qualified plan loan offset occurred.

X

Signature of account owner

Date (mm/dd/yyyy)

This document may not be signed using Adobe Acrobat Reader's "fill and sign" feature.

Include a personal check for the rollover amount made payable to Capital Bank and Trust Company.

Choose the service center for your state. Mail the form to the Indiana Service Center if you live outside the U.S.



American Funds Service Company
P.O. Box 6164
Indianapolis, IN 46206-6164

Overnight mail address
12711 N. Meridian St.
Carmel, IN 46032-9181



American Funds Service Company
P.O. Box 2560
Norfolk, VA 23501-2560

Overnight mail address
5300 Robin Hood Rd.
Norfolk, VA 23513-2430

For more information, contact your financial professional, visit www.capitalgroup.com or call us at (800) 421-4225.