Morgan Stanley

CAPITAL GROUP® | AMERICAN FUNDS®

NEXT STEPS DOCUMENT

Get started with **PlanPremier®-Bundled**

Find out how easy it can be to set up your retirement plan with Capital Group, home of American Funds, and investment management services from Morgan Stanley. Fill in the form below with the correct information, then email this page to AFNextSteps@capitalgroup.com.

Plan name:

Plan Name Sample

Financial professional:

John Sample

PlanPremier-Bundled

- Class R-6 shares
- Morgan Stanley 3(38) Core Market Program

◉ Takeover plan ○ Start-up plan

Number of participants with account balances: 0

Plan assets: $ 0.00

Annual compensation paid to financial professional's firm:

0 basis points on eligible assets (recovered from participant accounts)

I understand that final fees will be determined from actual plan data (total number of participants with account balances and plan assets in the recordkeeping system).

Authorized plan sponsor representative name and title ______________________________

Date ______________ Signature ______________________________

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For an overview of the major steps involved in installing your retirement plan, review the back page.

Plan installation timeline

Installation of your retirement plan requires coordination, cooperation and communication to help ensure that the process is as smooth and error-free as possible. This timeline identifies the major steps – from the Next steps document on the previous page to the transition conference call – and key roles and responsibilities during the PlanPremier-Bundled implementation process.

	Days before target effective date									Days after target effective date	
	60 days				55		55-40	40-30	30-0	1-15	15-30
	Next steps document received	Financial professional call	Welcome and plan document calls	Service termination letter*	Plan design†	Plan setup	Participant education	Prior recordkeeper communi-cations	Payroll setup call (blackout begins*)	Final valuation (blackout ends*)	Transition conference call
Capital Group		●	●		●	●	●	●	●	●	●
Financial professional		●	●				●				●
Plan sponsor	●	●	●	●	●		●		●	●	●
Prior recordkeeper				●				●	●	●	

* Does not apply to startup plans.

† Does not apply if an individually designed document is used.

To learn more about the Morgan Stanley 3(38) Core Market Program, please see the applicable Morgan Stanley Smith Barney LLC ADV Part II Brochure for more information including a description of the fee schedule. It is available at http://www.morganstanley.com/ADV or from your Financial Advisor.

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